# Sonographic Evolution and Pathologic Findings of Papillary Thyroid Cancer after Radiofrequency Ablation: a 5-Year Retrospective Cohort Study

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#### Abstract

**Background:** The criteria for determining technical efficacy of thermal ablation for papillary thyroid carcinoma (PTC) are not clearly defined. We analyzed the involution process of the ablation zone with clear pathologic results on core-needle biopsy (CNB) to clarify the relationship between sonographic changes and pathologic findings.

**Methods:** This retrospective cohort study included 382 patients with unifocal T1N0M0 PTC who underwent radiofrequency ablation (RFA) between May 2014 and August 2021. Patients with a single the ablation zone biopsy (recommended at 3 or 6 months for T1a and 6 or 12 months for T1b) and regular neck ultrasound/contrast-enhanced ultrasound imaging follow-up at 1, 3, 6, and 12 months and every 6–12 months thereafter after RFA were included. Patients also underwent yearly chest CT's. CNB was performed in the target lesion ablation zone's central, peripheral, and surrounding thyroid parenchyma to detect the presence of tumor cells. If the TSH was >2 mU/L, levothyroxine was prescribed with the intention of keeping the TSH 0.5–2 mU/L. Technical efficacy was defined as tumor disappearance by imaging follow-up together with the pathologically confirmed absence of tumor cells in the ablation zone.

**Results:** During the mean follow-up period of 67.8  $\pm$  18.2 months (22-110 months), the overall incidence of persistent disease and the technical efficacy rate were 3.9% (15/382; 2.9% of T1a, and 12.2% of T1b) and 96.1%, respectively. Tumor size (p = 0.03) and subcapsular location (p = 0.04) were risk factors associated with persistent disease. The technical success rate was 100%. Of the 367 ablation zones with benign CNB results, 336 (91.6%) showed tumor disappearance on US and no re-emergence of imaging-visible tumors during follow-up. Male sex (p = 0.006), age < 40 years (p = 0.003), T1a tumor (p < 0.01), and energy per mL (p < 0.03) were significantly associated with tumor disappearance.

**Conclusions:** US-guided RFA is an effective treatment for small low-risk PTC. Tumor disappearance on US after RFA may suggest an excellent prognosis and confirm complete ablation of the macroscopic tumor, but this sonographic finding is generally late and requires histological confirmation.

#### Introduction

US-guided thermal ablation is recommended as an alternative to surgery for benign thyroid nodules<sup>1,2</sup>. The treatment objective is to reduce nodule size, to relieve cosmetic problems or compressive symptoms. Importantly, papillary thyroid carcinoma (PTC) is the most common type of thyroid cancer, accounting for approximately 80% of all cases<sup>3</sup>. Among these, small and low-risk PTCs are commonly associated with indolent behavior and excellent prognosis<sup>4</sup>. Moreover, with the increasing emphasis on de-escalation treatment strategies for low-risk PTC, minimally invasive interventions, such as ultrasound (US)-guided thermal ablation, have gained increasing attention<sup>5,6</sup>.

For the thermal ablation of benign nodules, technique efficacy is defined as a volumetric reduction  $\ge$  50% of the initial nodule volume<sup>7</sup>. Such an index could determine treatment outcomes after thermal ablation and guide follow-up management planning. Furthermore, multiple studies have shown promising results for thermal ablation in the treatment of low-risk PTC<sup>8-10</sup>. Nevertheless, the criteria for determining the efficacy of thermal ablation for PTC have not yet been clearly defined. In contrast to benign nodules, the treatment objective for malignant tumors is the complete eradication of the target tumor. Clear definitions of technique efficacy are necessary to ensure an objective and reliable interpretation of the complete tumor response after thermal ablation of PTC and to standardize the techniques and outcomes of thermal ablation as a treatment option. Currently, the most commonly used method to evaluate the efficacy of the technique is US assessment of the size changes in the ablation zone after target tumor ablation to observe volume reduction, volume reduction ratio (VRR), and complete sonographic disappearance<sup>11-13</sup>. Few studies have performed fine needle aspiration (FNA) or coreneedle biopsy (CNB) in the ablation zone to detect residual tumor cells<sup>14,15</sup>. However, no reliable evidence exists to confirm the parameters that can be used to predict therapeutic success after thermal ablation.

Therefore, we aimed to conduct an observational study of patients with PTC who underwent radiofrequency ablation (RFA), to analyze the involution process of the ablation zone during long-term follow-up. Additionally, all ablated zones after RFA exhibited clear

pathological results on CNB, clarifying the relationship between imaging and pathological findings.

#### **Materials and Methods**

#### Study population

This retrospective study reviewed the patients with unifocal low-risk PTC who underwent RFA at the Chinese People's Liberation Army General Hospital between May 2014 and August 2021. This study was approved by the Ethics Committee of the Chinese People's Liberation Army General Hospital (S2019-211-01 and S2020-237-01). Written informed consent was obtained from all patients before RFA. The inclusion criteria were (a) PTC confirmed by FNA or CNB; (b) solitary tumor with maximum diameter ≤ 2 cm; (c) no imaging evidence of extrathyroidal extension, lymph node metastasis, distant metastasis on US or computed tomography (CT); and (d) no history of neck radiation, and familial thyroid carcinoma. The exclusion criteria were follows: (a) ablation zone without CNB, (b) multiple PTCs, and (c) follow-up period < 12 months.

#### Pretreatment evaluation

All patients underwent US, CT, laboratory tests, and pathologic examination prior to RFA. US was performed to evaluate tumor size, location, US characteristics, and cervical lymph nodes. Tumor volume was calculated using the following equation:  $V = \pi abc/6$  (V, volume; a, maximum diameter; b and c, two other perpendicular maximum diameters). Furthermore, a CT of the neck and chest was performed to detect cervical lymph node metastases and distant metastases. Laboratory tests included thyroid function, complete blood count, and blood coagulation tests. pathologic examinations included US-guided FNA or CNB and BRAF<sup>V600E</sup> mutation tests.

#### **RFA procedure**

All RFA procedures were performed by a physician with >20 years of experience in thyroid US and tumor ablation. For guidance, a Siemens Acuson Sequoia 512 scanner (Siemens, Mountain View, CA, USA) with a 6.0 MHz linear array transducer was used. In an outpatient clinic, patients were placed in the supine position with the neck extended. Local

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anesthesia with 1% lidocaine was administered at the subcutaneous tissue and perithyroidal area. Moreover, a bipolar RFA generator (CelonLabPOWER; Olympus Surgical Technologies Europe) and an 18-gauge bipolar radiofrequency applicator with a 0.9-cm active tip (CelonProSurge micro100-T09; Olympus Surgical Technologies Europe) were used. The RFA procedure used a four-step approach<sup>16</sup>: (1) thorough examination by US, (2) proper use of the hydrodissection technique, (3) rigorous moving-shot ablation strategy, and (4) evaluation of the ablation zone by CEUS. To prevent marginal residual tumors and recurrence, the ablation zone was ensured to exceed at least 2 mm from the original tumor margins. For the tumor with subcapsular location, the adjacent thyroid capsule was also ablated to ensure complete ablation. Complementary ablation was performed if a residual enhancement was observed.

#### Follow-up

Patients were evaluated using US at 1, 3, 6, and 12 months and every 6–12 months thereafter. US was used to assess the ablation zone and local tumor progression. Moreover, CEUS was performed at this follow-up interval to evaluate the ablation zone before its disappearance. US results for suspicious ablation zones were recorded according to the ACR Thyroid Imaging Reporting and Data System<sup>17</sup>. Volume reduction rate was calculated as follows: VRR = ([initial volume-final volume]×100)/initial volume. Chest CT was performed annually monitoring for distant metastases.

## **TSH** suppression

We recommended a goal serum thyroid-stimulating hormone level (TSH) in the range of 0.5–2 mU/L<sup>18</sup> for all patients. For patients whose thyroid-stimulating hormone levels were above 2 mU/L, we recommended a starting dose of 25  $\mu$ g/d levothyroxine. Thyroid function tests were performed every 2 months for the first year and every 6-12 months thereafter. Nine (2.4%) patients were treated with levothyroxine prior to RFA. At final follow-up, 24 patients (6.3%) were prescribed levothyroxine after RFA. Monitoring levothyroxine treatment and adjusting of levothyroxine dose was performed by study physicians with more than 3 years of experience in thyroid ablation.

#### Biopsy of the ablation zone

Based on the process of hyperthermic tumor injury, the ablation zone can be considered to have three zones, the central zone, peripheral zone, and normal surrounding tissue<sup>19,20</sup>. To confirm the efficacy of RFA in PTC, a single CNB was performed in the ablation zone's central, peripheral, and surrounding thyroid parenchyma of the target lesion to detect the presence of tumor cells (Figure 1A). Routinely, for T1a tumors it is recommended to be performed at 3 or 6 months after RFA. For T1b tumors it is recommended at 6 or 12 months after RFA. The *BRAF*<sup>V600E</sup> mutation tests were performed at the same time as CNB was performed on the entire ablation zone using FNA to determine the *BRAF*<sup>V600E</sup> status (Figure 1B).

The CNB and FNA procedures were performed by physicians with more than 5 years of experience in thyroid US. For CNB, biopsy procedures were performed using a disposable 1.5- or 2.2-cm excursion, 20-gauge spring-loaded needle (BARD double-action, Magnum; Bard Peripheral Vascular Inc., Tempe, USA). The fine-needle aspiration sampling for the *BRAF*<sup>V600E</sup> mutation tests were performed using a 25-gauge needle (Fine Aspiration Cytology Needle, Hakko, Japan), and *BRAF*<sup>V600E</sup> mutations were analyzed by quantitative polymerase chain reaction.

## **Clinical endpoints and definition**

The primary endpoints were technique efficacy and persistent disease. The secondary endpoints were technical success, VRR, and tumor disappearance.

Technical success was defined as complete coverage of the target tumor by the ablation zone on CEUS at the end of each procedure<sup>21</sup>. Technique efficacy was defined as complete ablation of the target tumor, including tumor disappearance by imaging follow-up or the pathologically confirmed absence of tumor cells in the ablation zone<sup>21,22</sup>. Persistent disease was defined as persistently detected PTC in the ablated zone as confirmed by biopsy. The ablation zone with benign CNB results was defined as necrotic substances, inflammatory cells, granulation tissue and fibrous proliferation, but no tumor cells were found. Tumor disappearance included: (1) complete disappearance, defined as the ablation zone with eablated complete disappearance.

zone observed as a linear scar. Incomplete disappearance was defined as an incompletely absorbed ablation zone on US. Subcapsular location was defined as the shortest distance of less than 2 mm between the tumor and the thyroid capsule or trachea.

#### Statistical methods

Categorical data are presented as numbers and percentages. Continuous data are described as means and standard deviations (SDs) or medians with interquartile ranges. Categorical comparisons were performed using the chi-square test or Fisher's exact test. Univariate and multivariable logistic regression analyses were conducted to identify variables associated with persistent disease. Univariate and multivariable analyses of the Cox proportional hazards model were performed to identify the variables associated with tumor disappearance. All p values were two-sided, and statistical significance was set at p<0.05. Statistical analyses were performed using the Statistical Package for the SPSS statistical software version 26.0.

### Results

#### **Patient characteristics**

A total of 382 patients (297 females and 85 males) with solitary PTC were included in this study (Supplementary Figure S1). Baseline patient characteristics are summarized in Table 1. The mean age, maximum tumor diameter, and median volume were  $43.1 \pm 9.7$ (SD) years (range 18-74 years), 6.8 mm  $\pm 2.9$  (range 3–18 mm), and 78.8 (140.9) mm<sup>3</sup>, respectively. According to the AJCC/TNM cancer staging system<sup>23</sup>, 341 (89.3%, 6.0 mm  $\pm$ 1.9,  $\leq$  5mm comprised 44.3% of cases) patients were classified as stage T1a and 41 (10.7%, 13.0 mm  $\pm$  1.9) patients were classified as stage T1b.

The mean power, RFA time, energy for the RFA procedure, and median (interquartile range) energy per mL were  $4.8 \pm 1.5$  W (range 3-9 W),  $191.1 \pm 127.4$  s (range 26-1150 s), 888.3  $\pm$  607.8 J (range 100–4520 J), and 8.94 (10.97) J/mL, respectively.

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#### **Technique efficacy**

During a mean follow-up period of  $67.8 \pm 18.2$  months (22-110 months), the technical efficacy rate and the overall incidence of persistent disease were 96.1% and 3.9% (15/382), respectively. The persistent disease rates in the T1a and T1b groups were 2.9% (10 of 341 patients) and 12.2% (5 of 41 patients), respectively. The persistent disease rates for T1a were 2.6% (4 of 151 patients) and 3.2% (6 of 190 patients) for lesions of less than 5 mm and more than 5 mm, respectively. A statistically significant difference was found between the T1a and T1b groups (p = 0.015). Multivariable logistic regression analyses revealed that tumor size (p = 0.03) and subcapsular location (p = 0.04) were significant factors associated with persistent disease (Table 2). During the follow-up period, one patient (0.3%, 1 of 382 patients) was observed to have a metastatic lymph node in the ipsilateral neck at 21 months post-ablation. The patient elected re-ablation and had no disease progression after 68 months of follow-up. Of all patients, no distant metastases were detected.

We tested 345 tumors with the *BRAF*<sup>v600E</sup> mutation prior to ablation, and 87.2% (301/345) of them tested positive. After ablation, 316 tumors were tested for *BRAF*<sup>v600E</sup> mutations (Figure 2), including 291 tumors that tested positive and 25 tumors that tested negative before ablation. In 84.9% (247/291) of the tumors that were *BRAF*<sup>v600E</sup> positive, the tumors were no longer present after RFA. Eleven of the 37 tumors that did not disappear were retested at 3-6 months and all were no longer present. Furthermore, all patients who were *BRAF*<sup>v600E</sup>-negative before ablation remained *BRAF*<sup>v600E</sup>-negative after RFA. Using the post-ablation CNB results as the gold standard, the sensitivity, specificity, accuracy, positive predictive value, and negative predictive value of the *BRAF*<sup>v600E</sup> mutation tests for the ablation zone were 58.3% (7/12), 86.4% (241/279), 85.6% (249/291), 15.9% (7/44), and 98.0% (242/247), respectively.

#### **Changes in US performance**

Fifteen patients with persistently detected PTC in the ablated zone had a positive CNB. The imaging appearances of all patients are shown in Table 3. On respective imaging modalities, 10 (66.7%), seven (46.7%), and 14 (93.3%) ablation zones exhibited a suspicious US appearance, showed abnormal blood flow on color Doppler flow imaging (CDFI), and

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displayed abnormal contrast perfusion on CEUS. Moreover, CEUS allowed for a more sensitive identification of persistent diseases than did US and CDFI. Of the patients with persistent disease, four chose observation, with US showing the incomplete disappearance of stable hypoechoic areas (Figure 3). The other 11 chose re-ablation; none showed abnormalities on US follow-up or pathologically confirmed benign results (Figure 4).

# Postablation tumor changes

None of the target tumors showed enhancement on CEUS at the end of ablation. The technical success rate was 100%. Due to the expanded ablation of the target tumor during ablation, the volume immediately after ablation and for the first 3 months was significantly larger than the initial volume before ablation (Supplementary Table S1). Moreover, the volume of the ablation zone gradually decreased 3 months after ablation (p < 0.001 for all), while the median volume reduction rates were 62.1% and 98.0% at 6 and 12 months after ablation, respectively, and reached 100% at 18 months after ablation (Supplementary Table S1).

Of the 367 ablation zones with benign CNB results, 336 (91.6%) showed tumor disappearance on US during the follow-up period; of these, 57.7% (194 of 336 tumors) completely disappeared and 42.3% (142 of 336 tumors) were scars. The mean time for tumor disappearance was 15.4 ± 9.4 months. The tumor disappearance rates were 50.7% (186), 81.0% (111), 88.3% (27), 89.1% (9), 91.3% (2), and 91.6% (1) at 6, 12, 24, 36, 48, and 60 months, respectively. At the final follow-up, 109 tumors (76.8%) scarring had completely disappeared (Figure 5). Cox regression analysis revealed that male sex (p =0.006), age < 40 years (p = 0.003), T1a tumor size (p < 0.01), and energy per mL (p < 0.03) were significantly associated with tumor disappearance (Table 4). Additionally, treated tumors that disappeared on US did not re-emerge as imaging-visible tumors during the mean follow-up period of 67.8 months.

#### Discussion

As thermal ablation is increasingly used for PTC, an evidence-based definition of the efficacy of the technique is warranted. In this study, we analyzed the involution process of the ablation zone with clear pathologic results on CNB after RFA in 382 patients with

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solitary T1 PTC during 5 years. The tumor disappearance on US was the final result of the involution process of the ablation zone that has been effectively treated with thermal ablation. Overall, the technical efficacy, persistent disease, technical success, and tumor disappearance rates were 96.1% (367 of 382 patients), 3.9% (15 of 382 patients), 100% (382 of 382 patients), and 91.6% (336 of 367 patients), respectively. For persistent disease, tumor size and subcapsular location were risk factors. Male sex, age < 40 years, T1a tumor size, and energy per mL were significantly associated with tumor disappearance.

To our knowledge, this study is the first to examine the pathology of the post-ablation zone after RFA for PTC with a 5-year follow-up period. Owing to the general lack of histological examination of the post-ablation zone, the true situation of complete tumor response after RFA is uncertain and the persistence of residual lesions cannot be excluded, potentially leading to the underestimation of the incidence of persistent disease. Our study, although retrospective, defines the timing of the US changes and their correlation with the histological outcomes after RFA treatment of PTC. Our results showed that the incidence of persistent disease was 3.9%, which is higher than the range of 0% to 1% reported in previous studies<sup>8,13,24-26</sup>. Notably, the incidence of T1b PTC (12.2%) with persistent disease was significantly higher than that of T1a PTC (2.9%). Our results are consistent with those of previous studies in which the post-ablation zone showed pathological findings<sup>27,28</sup>. Larger tumors require more rigorous ablation procedures and adequate safety margins. Furthermore, subcapsular location was associated with persistent disease, potentially due to inadequate safety margin. Therefore, we suggest ablation of the adjacent thyroid capsule along with adequate hydrodissection to ensure technical safety and complete ablation of the tumor.

The *BRAF*<sup>V600E</sup> mutation is the most common genetic alteration in PTC and has been reported in 30%–67% of PTC cases<sup>18,29</sup>. Notably, its prevalence is significantly higher in Asia than in Western countries<sup>30</sup>. Here, the *BRAF*<sup>V600E</sup> mutation rate was 87.2%; specifically, we used FNA to test whether *BRAF*<sup>V600E</sup>-positive PTC was no longer present after thermal ablation to determine the technical efficacy. Notably, the test demonstrated low sensitivity (58.3%) yet high specificity (86.4%). Consequently, while the test may overlook some instances of persistent disease, it remains highly reliable in confirming the success of

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thermal ablation. Furthermore, we retested  $BRAF^{V600E}$  status after 3-6 months in 11 tumors that did not disappear but were negative for CNB, and all were no longer present. We hypothesized that hyperthermic injury may induce coagulative necrosis in tumor cells; phagocytes' removal of this necrotic material is a dynamic process<sup>19</sup>. The optimal timing of  $BRAF^{V600E}$  status is important to minimize false-positive results; early testing is not recommended and is suggested 6-12 months after ablation. However, future studies are warranted to verify this finding and explore applicable scenarios for testing the  $BRAF^{V600E}$  state.

After ablation, the involution in the ablation zone gradually progressed. Male sex (p = 0.006), age <40 years (p = 0.003), T1a tumors (p < 0.01), and energy per mL (p < 0.03) were associated with earlier ablation zone disappearance. If the growth rate of residual tumor cells in persistent disease exceeds the absorption rate of the ablation zone, the ablation zone tends to increase in size, but PTC has an indolent disease process. Therefore, the of volume reduction and VRR parameters to assess the technical efficacy for PTC may not reflect the true tumor response in a timely manner. In our study, 91.6% of the tumors showed tumor disappearance on US examination after RFA, whereas the CNB results were all benign. Additionally,, the treated tumors that disappeared on US did not re-emerge as imaging-visible tumors, which was similarly observed in 10-year follow-up studies study<sup>8</sup> and in a meta-analysis of 5-year follow-up after thermal ablation of PTC<sup>31</sup>. Thus, tumor disappearance on US after RFA may indicate an excellent prognosis and confirm complete macroscopic tumor ablation, indicating the efficacy of the technique.

On US follow-up, ablation zones with persistent disease often appear hypoechoic, although suspicious features, such as taller-than-wide, ill-defined margins, punctate echogenic foci, and increased vascularity on CDFI, may appear. However, US findings are insensitive owing to the presence of necrotic or scar tissue, the appearance of which on US may closely mimic that of normal involution of the ablation zone. Moreover, CEUS is a superior tool for detecting microvascular circulation compared with US and helps accurately define the gross extent of induced coagulation and microscopic foci of persistent disease<sup>32</sup>. Also, CEUS may be used to detect residual vital tissue after thermal ablation of benign nodules<sup>33,34</sup>. Notably, abnormal contrast perfusion is observed

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surrounding or within the ablated zone, indicating persistent disease. During involution of the ablation zone, the appropriate use of CEUS allows the early identification of persistent lesions and reduces unnecessary puncture biopsies.

Owing to the unique characteristics of ablation zone involution after thermal ablation of PTC, the tumor disappearance of the ablation zone can be used as an indicator of treatment efficacy. Importantly, most patients achieved tumor disappearance 12 months after thermal ablation<sup>35,36</sup>. For patients whose ablation zone has incompletely disappeared after 12 months or who have abnormal imaging findings, an appropriate biopsy is recommended (Figure 6). Routine follow-up with CEUS is not required once the tumor has disappeared from the ablation zone. This approach minimizes the use of unnecessary puncture biopsies and CEUS, without delaying retreatment for patients with persistent disease.

This study has several limitations. First, this was a single-center retrospective study; therefore, a selection bias may have affected our results and the multicenter reproducibility of the outcomes cannot be assessed. Second, because the timing of postablation CNB was recommended based on physician preference and patient circumstances, this study did not investigate the relationship between postablation CNB results and puncture time. Future prospective studies are warranted. Third, although the diagnostic accuracy of CNB after RFA is higher than that of FNA because more cellularity can be obtained in the ablation zone, pathological examination of the entire ablated zone cannot be performed.

#### Conclusions

Our findings show that US-guided RFA is effective for treating of small unifocal lowrisk PTC, with 96.1% technical efficacy by CNB pathological examination and 91.6% tumor disappearance rate on US. Importantly, a complete ablation of low-risk PTC can be reliably obtained in tumors up to 10 mm (T1a), while persistent disease may be detected after treatment of tumors >10 mm (T1b) in 12.2% of cases. Tumor disappearance on US after RFA may indicate an excellent prognosis and confirm complete ablation of the macroscopic tumor, but this sonographic finding is generally late and need accurate

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histological confirmation with multiple passes. Technical efficacy should be defined as the complete ablation of the target tumor, including tumor disappearance by imaging follow-up together with the pathologically confirmed absence of tumor cells in the ablation zone. This recommendation can ensure an objective and reliable interpretation of the complete tumor response after RFA of PTC and standardize the techniques and outcomes of RFA as a treatment option. Rigorous prospective studies at the multi-institutional level are necessary to confirm our findings. For *BRAF*<sup>V600E</sup>-positive PTC, testing the ablation zone after RFA for the absence of their mutations to confirm the technical efficacy has high specificity but low sensitivity and warrants future investigation.

# **Authors' Contributions**

Xinyang Li: Conceptualization (equal); formal analysis (lead); writing – original draft (lead), review and editing (equal). Yingying Li: review and editing (supporting); formal analysis (supporting). Lin Yan: Software (lead), review and editing (supporting). Jing Xiao: Methodology (equal); review and editing (supporting). Zhen Yang: Methodology (equal); review and editing (supporting). Haoyu Jing: Methodology (equal); review and editing (supporting). Wethodology (equal); review and editing (supporting). Mingbo Zhang: Conceptualization (supporting); Writing – original draft (supporting); Writing – review and editing (equal). Yukun Luo: Conceptualization (equal); Writing – original draft (supporting); Writing – review and editing (equal).

## **Author Disclosure Statement**

No competing financial interests exist.

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Sonographic Evolution and Pathologic Findings of Papillary Thyroid Cancer after Radiofrequency Ablation: a 5-Year Retrospective Cohort Study (DOI: 10.1089/thy.2023.0415)

Table 1 Baseline Patie	ent Characteristics

Characteristics	N= 382 (%)
Age	
≤ 40	154 (40.3)
41-60	214 (56.0)
> 60	14 (3.7)
Sex (Females/Males)	297/85 (77.7/22.3)
Size	
T1a (≤ 10mm)	341 (89.3)
T1b (> 10 mm and $\leq$ 20mm)	41 (10.7)
Location	
Left lobe	165 (43.2)
Right lobe	209 (54.7)
Isthmus	8 (2.1)
BRAF <sup>V600E</sup> Mutation	
Positive	301 (87.2)
Negative	44 (12.8)
Preablation Contrast-enhanced ultrasound	
Hypoenhancement	308 (80.6)
Isoenhancement	20 (5.2)
Hyperenhancement	52 (13.6)
Calcification	
Yes	180 (47.1)
No	202 (52.9)
Hashimoto's thyroiditis	
No	312 (81.7)
Yes	70 (18.3)
Subcapsular locations	
No	224 (58.6)
Yes	158 (41.4)
Preablation TSH value, mU/L	$2.00 \pm 1.42$ (0.02-10.92)
Preablation levothyroxine treatment	9 (2.4)
Energy per mL, J/mL	8.94 (10.97)

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Follow-up time, mouths

Data are expressed as the No.(%) or mean±SD (range) or median (interquartile range).

Abbreviations: TSH, thyroid-stimulating hormone.

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Thyroid

Table 2 Univariate and multivariable logistic regression analyses identifying variables associated with persistent disease after radiofrequency ablation for unifocal small low-risk papillary thyroid carcinoma

Characteristic	Univariate Analysis	5	Multivariable Analysis			
	OR (95% CI)	P Value	OR (95%CI)	P Value		
Sex (Male vs Female)	1.90 (0.42, 8.59)	0.40				
Age, years (≤40 vs >40)	0.73 (0.25, 2.18)	0.58				
Size (T1a vs T1b)	4.60 (1.49, 14.19)	0.008*	3.56 (1.12, 11.30)	0.03*		
Location	1.15 (0.40, 3.29)	0.80				
(left vs right or isthmus)						
Hashimoto's thyroiditis	2.32 (0.77, 7.02)	0.14				
(yes vs no)						
BRAF <sup>V600E</sup> Mutation	1.95 (0.25, 15.27)	0.53				
(positive vs negative)						
Calcification (yes vs no)	0.98 (0.35, 2.76)	0.97				
Preablation CEUS (hypo- vs	1.59 (0.49, 5.14)	0.44				
iso- or hyperenhancement)						
Subcapsular locations	0.24 (0.08, 0.78)	0.02*	0.29 (0.09, 0.94)	0.04*		
(yes vs no)						
Preablation TSH value	0.95 (0.54, 1.65)	0.85				
(mU/L)						
Energy per mL (J/mL)	0.97 (0.92, 1.03)	0.35				

Abbreviations: TSH, thyroid-stimulating hormone, CEUS, contrast-enhanced ultrasound

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Case	Case Location	Size	CNB			Pre-ablation			Post-ablation		
		(mm)	Central	Peripheral	Surroundingparenchyma	ACR TI-RADS	CDFI	CEUS	ACR TI-RADS	CDFI	CEUS*
1	Right	6	+	+	-	5 (H, T, P)	No	hypo	5 (H, T, P)	Yes	Yes
2	Right	7	+	-	-	5 (H <i>,</i> T)	No	hypo	5 (H, T)	No	Yes
3	Right	8	-	+	-	5 (H <i>,</i> T)	Yes	hypo	5 (H, T, I)	Yes	Yes
4	Right	4	+	-	-	5 (H <i>,</i> T)	Yes	hypo	5 (H, P)	Yes	Yes
5	Left	4	+	+	-	5 (H, T, P)	No	hypo	5 (H <i>,</i> T)	No	Yes
6	Left	4	+	+	-	5 (H <i>,</i> T)	No	hypo	5 (H <i>,</i> T)	Yes	Yes
7	Right	8	+	+	-	5 (H <i>,</i> T)	Yes	iso	4 (H)	Yes	Yes
8	Left	4	+	-	-	5 (H <i>,</i> T)	No	hypo	4 (H)	No	Yes
9	Left	10	+	+	-	5 (H, T, P)	Yes	hypo	5 (H, T, P)	No	No
10	Right	10	+	-	-	5 (H <i>,</i> T)	Yes	hypo	5 (H, T)	No	Yes
11	Right	17	+	+	-	5 (H <i>,</i> T)	No	hypo	4 (H)	No	Yes
12	Right	11	+	-	-	5 (H <i>,</i> P)	No	iso	5 (H, T)	No	Yes
13	Right	11	+	+	-	5 (H <i>,</i> T, P)	Yes	iso	4 (H)	Yes	Yes
14	Left	12	+	+	-	5 (H <i>,</i> T, P)	No	hypo	4 (H)	Yes	Yes
15	Left	14	-	+	-	5 (H <i>,</i> P)	Yes	hyper	5 (H, P)	No	Yes

Table 3 Summary of the post-ablation imaging presentation of different pathological conditions.

Abbreviations: H, hypoechoic; T, taller-than-wide; I, ill-defined; P, punctate echogenic foci; hypo, hypoenhancement; iso, isoenhancement; hyper, hyperenhancement; CNB, core-needle biopsy; ACR TI-RADS, ACR Thyroid Imaging Reporting and Data System; CDFI, color Doppler flow imaging, CEUS, contrast-enhanced ultrasound.

\* Abnormal contrast perfusion is observed surrounding or within the ablated zone.

Characteristic	Univariate Analys	sis	Multivariable Analysis		
	HR (95% CI)	P Value	HR (95% CI)	P Value	
Sex (M vs F)	0.74 (0.57, 0.96)	0.02*	0.68 (0.52, 0.88)	0.003*	
Age, years (≤40 vs >40)	0.79 (0.64, 0.98)	0.03*	0.70 (0.56, 0.87)	0.002*	
Size (T1a vs T1b)	0.36 (0.24, 0.54)	<0.001*	0.34 (0.22, 0.52)	<0.001	
Location	1.02 (0.82, 1.27)	0.89			
(left vs right or isthmus)					
Hashimoto's thyroiditis	1.09 (0.83, 1.44)	0.53			
(yes vs no)					
BRAF <sup>V600E</sup> Mutation	0.99 (0.71, 1.39)	0.95			
(positive vs negative)					
Calcification (yes vs no)	0.86 (0.69, 1.06)	0.15			
Preablation CEUS (hypo- vs	1.20 (0.91, 1.57)	0.20			
iso- or hyperenhancement)					
Subcapsular locations	1.01 (0.81, 1.25)	0.97			
(yes vs no)					
Preablation TSH value (mU/L)	1.05 (0.95, 1.16)	0.34			
Energy per mL (J/mL)	1.01 (1.00, 1.01)	0.004*	1.01 (1.00, 2.02)	0.03*	

Table 4 Univariate and Multivariable Analyses examining tumor disappearance

Abbreviations: TSH, thyroid-stimulating hormone, CEUS, contrast-enhanced ultrasound

### **Figure Legends**



Figure 1: Schematic diagram of ablation zone biopsy

(A) CNB was performed in the central, peripheral, and surrounding thyroid parenchyma of the ablation zone. (B) The  $BRAF^{V600E}$  mutation tests was performed on the entire ablation zone by FNA.

Abbreviations: CNB, core-needle biopsy; FNA, fine needle aspiration.





\* Eleven of the 37 patients who did not convert to negative were retested at 3-6 months and all converted to negative.

Abbreviations: PTC, papillary thyroid carcinoma; CNB, core-needle biopsy.

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**Figure 3:** US image of a patient with the persistent disease chose observation. (A) US showed a 6-mm biopsy-proven PTC in the right lobe (arrow). (B) The day after RFA, the ablation zone completely covered the original tumor (arrow); (C) AT 9 months after the RFA, CEUS images (left) show that the contrast agent entered the periphery of the ablation zone margin (arrow), and US images (right) show that the ablation zone was solid, hypoechoic, ill-defined margins, and taller than wide (ACR TI-RADS 5), and pathologic findings by CNB showed the presence of PTC cells in the center and periphery of the ablation zone; (D) At 12 months after RFA, the ablation zone was incompletely disappeared; (E) At 24 months after RFA, color Doppler flow imaging showed blood flow within the ablation zone (arrow); (F) At 24 months after RFA, the size of the ablation zone did not change compared with 12 months after RFA.

Abbreviations: RFA, radiofrequency ablation; PTC, papillary thyroid carcinoma; CNB, coreneedle biopsy.

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**Figure 4:** US image of a patient with the persistent disease chose re-RFA. (A) US showed an 8-mm biopsy-proven PTC in the right lobe (arrow). (B) At 12 months after the RFA, the ablation zone gradually decreased with the longest diameter of 4mm (arrow); color Doppler flow imaging showed blood flow within the ablation zone (arrow); (C) US images (left) show that the ablation zone was solid, hypoechoic (ACR TI-RADS 4), and CEUS images (right) show that the contrast medium having entered the ablation zone (arrow), and pathologic findings by CNB showed the presence of PTC cells in the center and periphery of the ablation zone; (D) The patient underwent re-RFA therapy. At 7 months after re-RFA, the ablation zone completely disappeared.

Abbreviations: RFA, radiofrequency ablation; PTC, papillary thyroid carcinoma; CNB, coreneedle biopsy.

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**Figure 5:** US images of the involution process of the ablation zone in patients after RFA. (A) US showed a 6-mm biopsy-proven PTMC in the left lobe (arrow). (B) At 1 months after RFA, the ablation zone was significantly larger than the initial volume with the longest diameter of 9 mm (arrow); CEUS (right) showed clear margins of the no-enhancement zone with corresponding US images (left). (C) At 6 months, the ablation zone appeared as a scar with the width diameter of 1.1 mm (arrow); (D) At 55 months after RFA, the ablation zone completely disappeared.

Abbreviations: RFA, radiofrequency ablation; PTC, papillary thyroid carcinoma; CNB, coreneedle biopsy.

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**Figure 6:** A schematic diagram of a suggested follow-up protocol for patients with PTC who have undergone RFA

Abbreviations: RFA, radiofrequency ablation; PTC, papillary thyroid carcinoma

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